NORTHERN LINCOLNSHIRE AND GOOLE
NHS FOUNDATION TRUST CHARITABLE FUNDS
AND OTHER RELATED CHARITIES

A REGISTERED CHARITY
NUMBER 1054935

ANNUAL REPORT and FINANCIAL STATEMENTS
2015/2016

PRINCIPAL OFFICE AND REGISTERED ADDRESS:
Northern Lincolnshire and Goole NHS Foundation Trust
Diana, Princess of Wales Hospital
Scarthe Road, Grimsby,
North East Lincolnshire
DN33 2BA

PRINCIPAL PROFESSIONAL ADVISERS

BANKERS:
National Westminster Bank
66 Victoria Street
Grimsby
North East Lincolnshire
DN31 1BP

INDEPENDENT AUDITORS:
PricewaterhouseCoopers LLP
Benson House
33 Wellington Street
Leeds
LS1 4JP

INVESTMENT ADVISORS:
CCLA Investment Management Ltd
80 Cheapside
London EC2V 6DZ

Brewin Dolphin Ltd
Olympic House
Doddington Road
Lincoln LN6 3SE
Our Vision
A community in which everyone can benefit from the best healthcare in the UK.

Our Mission
We inspire, engage and channel the charitable intent of people, helping them to donate and make a real difference to the quality of people's health care where it really matters.

Our promise
Above all we will ensure that funds, however big or small, are used to the best effect to make a positive difference to our community's healthcare.

The Northern Lincolnshire and Goole NHS Foundation Trust are delighted to introduce the new identity for the Trusts Charitable funds. Following national best practice, the Trust invested in a leading local Charity Director to provide the platform for the funds to become more proactive and enhance the Charities identity in the community.

The Health Tree Foundation, www.healthtreefoundation.org.uk will have its roots within the NHS, but branch into the community. Providing enhanced environments, equipment and training across the Trust sites and community services.

The new approach will also see us greatly embrace the work of the vast range of community fundraisers that support our teams and services, with strong partnerships planned with The League of Friends, CRIG, Special Baby Care and Cleethorpes Cancer support group to name just a few.

A major development is the introduction of the Circle of Wishes, the new way of accessing charitable funds at The Trust. The Circle of Wishes will enable anyone involved in our services, NHS teams, patients, volunteers or visitors to put forward ways in which charitable funds can enhance their experience.

The wishes will all be visible on the Charities new website and through social media channel developed by the Charity team. This will hugely increase the transparency and engagement on what impact charitable funds has across the Trust. It is also hoped that this will encourage further innovative delivery, and most importantly act as a live wish list for local prospective donors to support.

The last key development is the management of our funds, we have been working hard to reduce the number of individual funds, amalgamating them into Zones, with a vision to get ward teams and services, to work closer together in their charitable objectives.

The changes have been well received, as we write we are only one year into our journey, but well on track for our five-year plan. We have had strong income in the first year, and we are working hard on strategic spending plans, utilising the new Zone structure.

Anne Shaw - Trust & Charity Chair
NORTHERN LINCOLNSHIRE AND GOOLE
NHS FOUNDATION TRUST CHARITABLE FUNDS
AND OTHER RELATED CHARITIES 2015/16

TRUSTEE'S ANNUAL REPORT FOR THE
YEAR ENDING 31ST MARCH 2016

FOREWORD

The Corporate Trustee and ultimate parent and controlling party of the Charity is the
Northern Lincolnshire and Goole NHS Foundation Trust Board. The Corporate Trustee
presents the Northern Lincolnshire and Goole NHS Foundation Trust Charitable Funds and
Other Related charities Annual Report together with the audited financial statements for the
year ended 31st March 2016.

The Charity’s Annual Report and financial statements for the year ended 31st March 2016
have been prepared by the Corporate Trustee in accordance with Part V1 of the Charities
Act 2011 and the Charities (Accounts and Reports) Regulations 2005. The trustee has taken
into consideration the Charity Commission guidance on public benefit.

The Corporate Trustee has for a number of years administered the Charitable Funds relating
to Navigo and Care Plus Group under a Shared Services agreement. During 2015/16 the
balance of funds were transferred to the Care Plus Group and all funds relating to Navigo
were fully utilised. The Shared Services Agreement has now ended.

The Charity’s report and financial statements include all the separately established funds
for which the Northern Lincolnshire and Goole NHS Foundation Trust, Navigo and Care
Plus Group are the sole beneficiaries.
NORTHERN LINCOLNSHIRE AND GOOLE 
NHS FOUNDATION TRUST CHARITABLE FUNDS 
AND OTHER RELATED CHARITIES 2015/16 

TRUSTEE'S ANNUAL REPORT FOR THE 
YEAR ENDING 31ST MARCH 2016 

CORPORATE TRUSTEE – TRUST BOARD 
The members of the NHS Foundation Trust Board who served during the financial year 
were as follows: 
CHAIRMAN 
Dr Jim Whittingham (Resigned September 2016) 

EXECUTIVE DIRECTORS 
Mrs Karen Jackson 
Mrs Wendy Booth 
Mrs Pam Clipson 
Mrs T Filby 
Dr Karen Dunderdale 
Mr Marcus Hassall 
Mrs J Heaton 
Mr Jug Johal 
Mrs Karen Griffiths 
Dr Neil Pease 
Mr Lawrence Roberts 

Chief Executive 
Director of Performance Assurance & Trust Secretary 
Director of Strategy & Planning 
Chief Nurse (appointed October 2015) 
Deputy Chief Executive appointed June 2015 (previously Chief Nurse) 
Director of Finance 
Interim Director of Organisational Development & Workforce 
(appointed May 2015, resigned February 2016) 
Director of Estates & Facilities 
Chief Operating Officer (resigned June 2016) 
Director of Organisational Development & Workforce (resigned May 2015) 
Medical Director (appointed July 2015) 

NON-EXECUTIVE DIRECTORS 
Mr Alan Bell 
Mr Neil Gammon 
Ms Linda Jackson 

Mrs Anne Shaw (Appointed Chair September 2016) 
Mr Stanley Shreeve 

REFERENCE AND ADMINISTRATIVE DETAILS 
The main charity – Northern Lincolnshire and Goole NHS Foundation Trust Charitable 
Funds and Other Related charities, registered Charity Number 1054935, was entered on the 
Central Register of Charities on the 26th April 1996. The Charitable Trust Funds, at 31st 
March 2016, is constituted of an “Umbrella” Fund, which covers a total of 77 Funds 
(2014/15: 121), details below: 

<table>
<thead>
<tr>
<th></th>
<th>2015/16</th>
<th>2014/15</th>
</tr>
</thead>
<tbody>
<tr>
<td>Special Purpose Funds</td>
<td>1</td>
<td>5</td>
</tr>
<tr>
<td>Restricted Funds</td>
<td>0</td>
<td>1</td>
</tr>
<tr>
<td>General &amp; Designated Funds</td>
<td>76</td>
<td>101</td>
</tr>
<tr>
<td>Navigo and Care Plus Group Funds</td>
<td>-</td>
<td>14</td>
</tr>
<tr>
<td><strong>Total Funds</strong></td>
<td><strong>77</strong></td>
<td><strong>121</strong></td>
</tr>
</tbody>
</table>

This is represented diagrammatically at Appendix 1 with appropriate definitions. The notes 
to the financial statements distinguish the types of fund held and all material funds are 
disclosed separately.
Charitable funds received by the charity are accepted, held and administered as Funds and Property Held on Trust for purposes relating to the health service in accordance with the National Health Service Act 1977 and the National Health Service and Community Care Act 1990. These funds are held on Trust by the Corporate body.

The Northern Lincolnshire and Goole NHS Foundation Trust is the Corporate Trustee of the Charitable Funds governed by the law applicable to Trusts, principally the Trustee Act 2000 and the Charities Act 2011.

The NHS Trust Board devolved responsibility for the on-going management of funds to the Charitable Funds Committee that administers the funds on behalf of the Corporate Trustee.

The names of those people who serve as agents for the corporate trustee, as permitted under regulation 16 of the NHS Trusts (Membership and Procedures) Regulations 1990, is as follows as at 31st March 2016:

Dr Jim Whittingham
Mrs T Filby
Mrs Karen Griffiths
Dr Neil Pease
Mr Marcus Hassall
Mr Alan Bell
Mr Neil Gammon
Mrs Linda Jackson
Mrs Anne Shaw
Mr Stanley Shreeve
Mr Paul Marchant

Chairman, Non-Executive Director
Chief Nurse
Chief Operating Officer
Director of Organisational Development & Workforce Management (resigned May 2015)
Director of Finance
Non Executive Director
Non Executive Director
Non Executive Director
Non Executive Director
Non Executive Director
Chief Financial Accountant

The Directors do not receive remuneration or expenses from the Charity.

PRINCIPAL CHARITABLE FUND ADVISER TO THE BOARD:
For 2015/16, the Chief Executive of the Northern Lincolnshire and Goole NHS Foundation Trust is Mrs Karen Jackson who, under a scheme of delegated authority approved by the Corporate Trustee, has overall responsibility for the management of the Charitable Funds. The arrangements for approval of charitable fund expenditure under the scheme of delegation of the Corporate Trustee, are as follows:
DELEGATION LIMITS
1) Between £1 - £2,500  Authorisation from the Fund Manager
2) Between £2,501 - £5,000  As above in 1) plus further authorisation from the Director of Finance or other nominated person
3) Between £5,001 - £25,000  As above in 2) plus further authorisation from the Chief Executive
4) Between £25,001 - £50,000  As above in 3) plus further authorisation from the Charitable Funds Committee
5) Above £50,000  Approval by the Trust Board

Mr Paul Marchant acts as the principal officer overseeing the day-to-day financial management and accounting for the charitable funds during the year.

STRUCTURE, GOVERNANCE AND MANAGEMENT
The charity’s fund was established using the “Model Declaration of Trust” and all funds held on trust as at the date of registration were either part of the unrestricted funds, registered restricted funds or unregistered restricted funds. These funds are allocated to the Special Purpose Charities of Scunthorpe General Hospital Charitable Fund, Diana Princess of Wales Hospital Charitable Fund and Goole District Hospital Charitable Fund all held under an Umbrella charity.

The corporate trustee fulfils its legal duty by ensuring that funds are spent in accordance with the objects of each fund and by designating funds the Trustee respects the wishes of our generous donors to benefit patient care and advance the good health and welfare of patients, carers and staff. Where funds have been received which have specific restrictions set by the donor, a restricted fund is established. Where the fundraising for an appeal is expected to exceed two years, the fund is a Special Purpose and registered with the Charity Commission.

The charitable funds available for spending are allocated to specialties within the NHS Foundation Trust’s Directorate management structure. Each allocation is managed by use of a designated fund within the general unrestricted funds. For example there are charitable funds for medicine which include allocations for each of the wards and for Cardiology, Cancer Equipment etc. The charitable funds within surgery include such funds as Ophthalmology, General Surgery, and Urology.

The Chairman and Non-Executive Members’ of the Foundation Trust Board are appointed by the Council of Governors and Executive members of the Board and are subject to recruitment by the Non-Executive Directors, the Chairman and the Chief Executive (except when the Chief Executive is being appointed). Members of the Foundation Trust Board and the Charitable Funds Committee are not an individual trustee under Charity Law but act as agents on behalf of the corporate trustee.
The Trust has produced an induction pack for newly appointed members of the Foundation Trust Board and Charitable Funds Committee members including any lay members. This pack provides information about the charity, including the governing document, the Charitable Funds Committee terms of reference, trustee annual report and financial statements, budgets, policies, minutes and information about trusteeship. The Chair gives new members of both the NHS Foundation Trust Board and the Charitable Funds Committee, a briefing on the current policies and priorities for the charitable funds. A guided tour of the beneficiary Foundation Trust’s facilities and any additional training that their role(s) may require is also offered.

Acting for the Corporate Trustee, the Charitable Funds’ Committee is responsible for the overall management of the Charitable Funds. The Committee is required to:

- Provide support, guidance and encouragement for all its income raising activities whilst managing and monitoring the receipt of all income.
- Control, manage and monitor the use of the fund’s resources.
- Ensure that “best practice” is followed in the conduct of all its affairs and fulfilling all of its legal responsibilities.
- Ensure that the Investment Policy approved by the Foundation Trust Board, as Corporate Trustee, is adhered to and that performance is continually reviewed whilst being aware of ethical considerations.
- Keep the Trust Board fully informed on the activity, performance and risks of the charity.

The accounting records and the day-to-day administration of the funds are dealt with by the Finance Department’s Charitable Funds section at Eastholme Building, Diana Princess of Wales Hospital, Scartho Road, Grimsby, North East Lincolnshire, DN33 2BA.

RISK MANAGEMENT

The major risks to which the charity is exposed have been identified and considered. They have been reviewed and systems established to mitigate those risks. The most significant risks identified were possible losses from a fall in the value of the investments and the level of reserves available to cover the impact of such losses. These have been carefully considered and there are procedures in place to review the investment policy and to ensure that both spending and firm financial commitments remain in line with income.

Income and expenditure is regularly monitored. Listings of income and expenditure and the balance on individual funds are examined on a quarterly basis to detect trends as part of the risk management process to avoid unforeseen calls on reserves.
PARTNERSHIP WORKING AND NETWORKS

The charity continues to receive communications from the Charity Commission, CCLA and Brewin Dolphin (our investment brokers), our Auditors and various publications, to ensure that we are kept informed and updated on all current developing issues.

Northern Lincolnshire and Goole NHS Foundation Trust is the main beneficiary of the charity and is a related party by virtue of being Corporate Trustee of the charity. When deciding upon the most beneficial way to use charitable funds, the corporate trustee has regard to the main activities, objectives, strategies and plans of the Foundation Trust.

The Northern Lincolnshire and Goole NHS Foundation Trust Charitable Fund and Other Related Charities derives its income from donations by individuals, legacies and fund raising activities. In addition Charitable Funds are supplemented by income from investments, direct donations from the Scunthorpe and Goole Hospitals League of Friends, Diana Princess of Wales Hospital League of Friends, WRVS, Grimsby Hospital Welfare Society, Cleethorpes Cancer Support Group plus many other local organisations and the general public.

OBJECTIVES AND STRATEGY

The Charity’s main Umbrella fund has NHS wide objectives as follows:

"The Trustees shall hold the trust fund upon trust to apply the income, and at their discretion, so far as may be permissible, the capital, for any charitable purpose or purposes relating to the National Health Service"
ANNUAL REVIEW: OUR ACTIVITIES

During the year, the funds continued to support a wide range of charitable and health related activities benefiting both patients and staff. In general they were used to purchase much needed medical equipment, very varied additional goods and other services. Details of expenditure are contained on pages 11 and 12.

The ward charitable funds receive many donations specifically given to thank the nursing staff and these are used for goods and activities that will benefit staff. For example, these donations enable consultants and other medical staff to attend courses not funded by the NHS, which will update them on the new ideas and modern techniques in their specialities and provide training to further their career, which in turn is beneficial to the patients.

The Northern Lincolnshire and Goole NHS Foundation Trust receives donations and legacies that can be used for any charitable purpose relating to the NHS. Various areas of improvement to the environment were undertaken to enhance the surroundings of patients.

The Charitable Funds Committee reviews the spending priorities for each fund quarterly, together with a review of the investment income received.

Fundraising Leaflets designed to promote awareness of the general public to the charitable funds and encourage the receipt of donations and bequests, are available and can be found throughout the Trust hospitals. They are also available to the public on request.

During the year the Trustees continue to enlist the support of local charity, Hull and East Yorkshire Smile Foundation to develop a strategy for managing the charitable funds in the future. Following a process of consultation with key stakeholders in the trust and research into other NHS charities across the country a new brand, mission, vision and values has been developed. The aim of this new project is to increase the income to charitable funds and further enhance patient and staff experience. The new brand ‘The Health Tree Foundation’ was launched during December 2015.
GRANT MAKING POLICY
All grants are made from the charity’s various funds – these funds comprise three elements:

- The first element is the General Funds of Diana Princess of Wales Hospital Charity, Scunthorpe General Hospital Charity, Goole District Hospital Charity, Navigo and Care Plus Group which constitute donations and legacies received by the charity where donors have not expressed a particular preference as to its expenditure. Each year, fund managers’ are made aware that applications will be considered for access to these funds. “The Equipment Group” and “Strategy & Planning Group” of the Foundation Trust also work in liaison with the Charitable Funds Committee and put forward requests for grants in areas of the Hospital that do not have available Designated Funds.

- The second element of the unrestricted funds is the Designated (Earmarked) Funds. These usually contain donations where the donor nominated a particular part of the Hospital or activity at the time their donation was made. Whilst their nomination is not binding on the trustee, the designated funds reflect these nominations. The designated funds are overseen by Fund Managers, who can make recommendations and applications to spend the money at any time within their designated area. Fund Managers’ recommendations which exceed their designated limit are put forward for approval to the appropriate designated signatories in accordance with the scheme of delegation.

- The third element is the Restricted Funds. These are funds on which the donors’ have placed a restriction as to how or where the monies can be spent. e.g. “for Scunthorpe General Hospital only”. These funds are also overseen by Fund Managers and have the same delegated limits.

RESERVES POLICY
The Charitable Funds Committee reviews the reserves on an on-going basis to ensure there is a balance between medium and low risk investments. There must also be cash available to meet grants on a daily basis, and to enable grants to be made on an exceptional basis where required. Uncommitted reserves at 31st March 2016 were £1,888k (2014/15 £1,948k)

OUR FUTURE PLANS
Mindful of the many changes and challenges facing the NHS, particularly in terms of huge national budget deficits and high requirement for efficiency savings, the future direction of the charity will be shaped by the impact of these changes as they are gradually implemented. The reconfiguration of services and plans for redesigning patient care including integrated community services to meet the needs of the future will influence the priorities for spending charitable funds.
A REVIEW OF OUR FINANCES, ACHIEVEMENTS AND PERFORMANCE

The net assets of the Charitable funds as at 31st March 2016 were £1,888k (2014/15 £1,948k). Overall net assets decreased by £60k during the year (2014/15 £211k). The loss on the valuation of investments of £108k (2014/15 gain of £142k) reflects the stock market changes during this financial year.

The charity continues to rely on donations, legacies and investment income as the main sources of income. The total incoming resources for the year was £888k (2014/15 £451k).

The total expenditure of £806k (2014/15 £804k) was spent on direct charitable activity, including support and overhead costs of £40k (2014/15 £39k) and costs of generating funds £100k (2014/15 £48k) across a range of activities.

Purchase of new equipment

Total expenditure on new equipment of £145k (2014/15 £148k) represents a vital and valuable contribution to enhancing the provision of clinical and medical care. Purchases range from small items costing a few pounds each, through to specialist diagnostic equipment costing several thousand pounds. A summary of the £145k is shown below;

<table>
<thead>
<tr>
<th>Description</th>
<th>£000</th>
</tr>
</thead>
<tbody>
<tr>
<td>Medical equipment</td>
<td>55</td>
</tr>
<tr>
<td>Other equipment including IT equipment</td>
<td>24</td>
</tr>
<tr>
<td>Staff Equipment</td>
<td>17</td>
</tr>
<tr>
<td>Equipment supplied by League of Friends</td>
<td>49</td>
</tr>
<tr>
<td><strong>Total</strong></td>
<td><strong>145</strong></td>
</tr>
</tbody>
</table>

A total of £208k (2014/15 £332k) was used to purchase capital additions as follows:

<table>
<thead>
<tr>
<th>Description</th>
<th>£000</th>
</tr>
</thead>
<tbody>
<tr>
<td>Contribution to Cardiology Day Case Unit</td>
<td>62</td>
</tr>
<tr>
<td>Theatre Table</td>
<td>52</td>
</tr>
<tr>
<td>Scalp Coolers (funded by Walk the Walk)</td>
<td>38</td>
</tr>
<tr>
<td>Oncology software system</td>
<td>21</td>
</tr>
<tr>
<td>Laser</td>
<td>12</td>
</tr>
<tr>
<td>Endoscopy Stacks</td>
<td>12</td>
</tr>
<tr>
<td>Bladder Scanners</td>
<td>11</td>
</tr>
<tr>
<td><strong>Total</strong></td>
<td><strong>208</strong></td>
</tr>
</tbody>
</table>
Staff education and welfare
A total of £52k (2014/15 £58k) was spent on external courses and training materials. A further £2k (2014/15 £3k) was spent on travel and subsistence connected with the training. Books, journals, printing and stationery totalled £6k (2014/15 £5k) and £10k (2014/15 £20k) related to staff welfare and included the provision of new furniture, refrigerators, uniforms, kettles etc.

Patient welfare and amenities
A total of £243k (2014/15 £151k) provided amenities to improve patient welfare, which included a wide and diverse range of items ranging from new furniture, televisions and play equipment to artwork.

Performance management
The charity does not have any direct employees. During 2015/16 two staff were seconded to the charity from the Hull & East Yorkshire SMILE Foundation. The charity is managed by the seconded staff and Foundation Trust members of staff, keeping administration costs to a bare minimum. To ensure the best use of the charitable resources, close co-operation exists between the Foundation Trust staff, the Charitable Funds Committee and the Equipment Group to identify priorities and assess the appropriateness of funding requests.

INVESTMENTS
The corporate trustee invests its charitable funds with CCLA Investment Management Ltd in their Charities Official Investment Funds (COIF) and with Brewin Dolphin Ltd Fund Management in a managed portfolio, with a view to obtaining a return higher than the FTSE All Share Index. Dividends are paid directly into the charity’s bank account. At the year-end 94.0% (2014/15 99.0%) of non-liquid funds were invested in the COIF Charity Investment Funds and the Brewin Dolphin Ltd Managed Portfolio.

The Trustee has endeavoured to maximize the return from the resources by investing funds in COIF Charity Investment Funds, and Brewin Dolphin Ltd Managed Portfolio as these funds are not required in the immediate future. All available funds of the charity were consolidated and reasonable assessments were made regarding the availability of funds for investment purposes.

At 31 March 2016 the market value of investments are as follows: COIF Ethical Investment Fund £833k (2014/15 £973) and Brewin Dolphin Ltd managed portfolio £954k (2014/15 £1,022k).
The Charitable Funds Committee regularly review the level of investments and undertake market testing to ensure that the charity has the correct balance between risk and returns and maintain adequate liquidity. During 2015/16 the investments lost £108k (2014/15 gain of £142k) on revaluation. The stock market continues to be volatile and the Charitable Funds Committee review on a regular basis the performance to explore the potential for taking mitigating actions.

The investments in the COIF Funds and Brewin Dolphin Ltd managed portfolio are also in line with the Trust's policy on making ethical investments. The Trust's ethical investment policy is to exclude investment in companies directly involved in the production of tobacco products, alcohol and arms.

The charity has detailed policies and procedures in conjunction with the guidelines issued by the Charity Commission. This includes reviewing the implementation of the investment policy with the intention of keeping a minimum balance and exploring the opportunity of investing surplus cash and maximizing returns to generate more income. Expenditure for the coming year will be planned around all available resources to meet the objects of the funds and maximize their use for patients' benefit.

The remaining balance of the liquid reserves are held in commercial bank accounts which total £147k (2014/15 £21k).

THE TRUSTEE GIVES THANKS
Northern Lincolnshire and Goole NHS Foundation Trust is grateful to the many individuals, groups, associations, clubs and societies who have given generously of their time, money and bequests, to the Trust's Charitable Funds throughout the year. On behalf of the staff and patients who have benefited from improved services and amenities they would like to express their thanks.

Approved on behalf of the Corporate Trustee

Mrs Anne Shaw, Chair of Northern Lincolnshire & Goole NHS Foundation Trust.

Director of Finance of Northern Lincolnshire and Goole NHS Foundation Trust
Funds Structure
Northern Lincolnshire and Goole NHS Foundation Trust Charitable Funds and Other Related Charities 2015/16

Appendix 1 i of ii

Northern Lincolnshire and Goole NHS Foundation Trust Corporate Trustee

Charitable Funds Held on Trust as at 31st March 2016

Registered Umbrella Fund of Northern Lincolnshire and Goole NHS Foundation Trust Charitable Funds and Other Related Charities

Registered Number 1054935

Northern Lincolnshire and Goole NHS Foundation Trust (Expendable Funds) Common Investment Fund

Diana Princess of Wales Hospital Charitable Fund
Scunthorpe General Hospital Charitable Fund
Goole District Hospital Charitable Fund
Navigo and Care Plus Group Charitable Funds

Special Purpose Charities*
Cancer Equipment Fund

Restricted Funds***

Designated****

See page 15 for further details.
The "Northern Lincolnshire and Goole NHS Foundation Trust (Expendable Funds) Common Investment Fund" has been registered with the Charity Commission to enable the Trustees to invest combined funds of the Charities held under the Umbrella Fund.

* Special Purpose Charities are funds or properties held separately on behalf of the charity for any special purpose of that charity and are usually registered with Supplemental Deeds with the Charity Commission.

*** Restricted Funds are donated with restrictions attached.

**** Designated Funds are donated without any restriction but which the Trustees have decided to allocate to a project or particular purpose (sometimes called ear marked funds).

***** General funds are those given without any restriction that the Trustees are free to spend on or for any charitable purpose covered by the Trust.
APPENDIX II

THE OBJECTS OF SPECIAL PURPOSE FUNDS

Cancer Equipment Fund - The Trustee shall hold the trust fund upon trust to apply the income and at their discretion, so far as may be permissible, for the provision of equipment for the treatment of cancer at Grimsby Hospital.

Cardio Vascular Department Research Fund - The Trustee shall hold the trust fund upon trust to apply the income and at their discretion, so far as may be permissible, for any charitable purpose or purposes principally (but not exclusively) at or in connection with the Grimsby Hospital, which will further the advancement of scientific and medical education and research in topics related to Cardiology, providing the useful results of such research is published. During 2015/16 this fund has been unrestricted and is no longer a Special Purpose Fund.

Child Development Centre Appeal Fund - For the provision of a Child Development Centre at N.E. Lincolnshire. During 2015/16 this fund has been unrestricted and is no longer a Special Purpose Fund.

Ophthalmology OPD - For the provision of equipment and amenities of the Ophthalmology OPD at Grimsby Hospital, in particularly but not exclusively of a provision for a visual field analyser for the early detection of Glaucoma. During 2015/16 this fund has been unrestricted and is no longer a Special Purpose Fund.

Rheumatology Fund - The Trustee shall hold the trust fund upon trust to apply the income and at their discretion, so far as may be permissible, for any charitable purpose or purposes relating to the National Health Service wholly or mainly for the Rheumatology department at Grimsby Hospital. During 2015/16 this fund has been unrestricted and is no longer a Special Purpose Fund.

THE OBJECTS OF THE RESTRICTED FUND

Scunthorpe Gift Ophthalmology Fund - For the benefit and welfare of patients & staff. During 2015/16 this fund has been unrestricted.
NORTHERN LINCOLNSHIRE AND GOOLE
NHS FOUNDATION TRUST CHARITABLE FUNDS
AND OTHER RELATED CHARITIES 2014/15

Statement of trustee’s responsibilities
The trustee is responsible for preparing the trustee’s Annual Report and the financial statements in accordance with applicable law and United Kingdom Accounting Standards (United Kingdom Generally Accepted Accounting Practice).

The law applicable to charities in England and Wales requires the trustee to prepare financial statements for each financial year which give a true and fair view of the state of affairs of the charity and of the incoming resources and application of resources of the charity for that period.

In preparing these financial statements, the trustee is required to:
- select suitable accounting policies and then apply them consistently;
- observe the methods and principles in the Charities SORP;
- make judgments and estimates that are reasonable and prudent;
- state whether applicable accounting standards have been followed, subject to any material departures disclosed and explained in the financial statements; and
- prepare the financial statements on the going concern basis unless it is inappropriate to presume that the charity will continue in business.

The trustee is responsible for keeping proper accounting records that disclose with reasonable accuracy at any time the financial position of the charity and enable them to ensure that the financial statements comply with the Charities Act 2011, the Charity (Accounts and Reports) Regulations 2008 and the provisions of the trust deed. He is also responsible for safeguarding the assets of the charity and hence for taking reasonable steps for the prevention and detection of fraud and other irregularities.

The trustee is responsible for the maintenance and integrity of the charity and financial information included on the charity’s website. Legislation in the United Kingdom governing the preparation and dissemination of financial statements may differ from legislation in other jurisdictions.

Signed
Chair
Mrs Anne Shaw
Date: 15/12/2016

Marcus Hassall
Director of Finance
Date: 15/12/2016
Independent auditors’ report to the trustees of Northern Lincolnshire and Goole NHS Foundation Trust Charitable Fund and Other Related Charities

Report on the financial statements

Our opinion

In our opinion, Northern Lincolnshire and Goole NHS Foundation Trust Charitable Fund and Other Related Charities’ financial statements (the “financial statements”):

- give a true and fair view of the state of the charity’s affairs as at 31 March 2016 and of its incoming resources and application of resources and cash flows, for the year then ended;
- have been properly prepared in accordance with United Kingdom Generally Accepted Accounting Practice; and
- have been prepared in accordance with the requirements of section 144 of the Charities Act 2011 and Regulation 8 of The Charities (Accounts and Reports) Regulations 2008.

What we have audited

The financial statements, included within the Annual Report and Financial Statements (the “Annual Report”), comprise:

- the balance sheet as at 31 March 2016;
- the statement of financial activities for the year then ended;
- the cash flow statement for the year then ended;
- the notes to the financial statements, which include a summary of significant accounting policies and other explanatory information.

The financial reporting framework that has been applied in the preparation of the financial statements is United Kingdom Accounting Standards, comprising FRS 102 “The Financial Reporting Standard applicable in the UK and Republic of Ireland”, and applicable law (United Kingdom Generally Accepted Accounting Practice).

In applying the financial reporting framework, the trustees have made a number of subjective judgements, for example in respect of significant accounting estimates. In making such estimates, they have made assumptions and considered future events.

Other matters on which we are required to report by exception

Sufficiency of accounting records and information and explanations received

Under the Charities Act 2011 we are required to report to you if, in our opinion:

- we have not received all the information and explanations we require for our audit; or
- sufficient accounting records have not been kept; or
- the financial statements are not in agreement with the accounting records and returns.

We have no exceptions to report arising from this responsibility.

Other information in the Annual Report

Under the Charities Act 2011 we are required to report to you if, in our opinion the information given in the Trustees’ Annual Report is inconsistent in any material respect with the financial statements. We have no exceptions to report arising from this responsibility.

Responsibilities for the financial statements and the audit

Our responsibilities and those of the trustees

As explained more fully in the Trustees’ Responsibilities Statement, the trustees are responsible for the preparation of the financial statements and for being satisfied that they give a true and fair view.
Our responsibility is to audit and express an opinion on the financial statements in accordance with applicable law and International Standards on Auditing (UK and Ireland) ("ISAs (UK & Ireland)"). Those standards require us to comply with the Auditing Practices Board's Ethical Standards for Auditors.

This report, including the opinions, has been prepared for and only for the charity's trustees as a body in accordance with section 144 of the Charities Act 2011 and regulations made under section 154 of that Act (Regulation 24 of The Charities (Accounts and Reports) Regulations 2008) and for no other purpose. We do not, in giving these opinions, accept or assume responsibility for any other purpose or to any other person to whom this report is shown or into whose hands it may come save where expressly agreed by our prior consent in writing.

What an audit of financial statements involves

We conducted our audit in accordance with ISAs (UK & Ireland). An audit involves obtaining evidence about the amounts and disclosures in the financial statements sufficient to give reasonable assurance that the financial statements are free from material misstatement, whether caused by fraud or error. This includes an assessment of:

- whether the accounting policies are appropriate to the charity's circumstances and have been consistently applied and adequately disclosed;
- the reasonableness of significant accounting estimates made by the trustees; and
- the overall presentation of the financial statements.

We primarily focus our work in these areas by assessing the trustees' judgements against available evidence, forming our own judgements, and evaluating the disclosures in the financial statements.

We test and examine information, using sampling and other auditing techniques, to the extent we consider necessary to provide a reasonable basis for us to draw conclusions. We obtain audit evidence through testing the effectiveness of controls, substantive procedures or a combination of both.

In addition, we read all the financial and non-financial information in the Annual Report to identify material inconsistencies with the audited financial statements and to identify any information that is apparently materially incorrect based on, or materially inconsistent with, the knowledge acquired by us in the course of performing the audit. If we become aware of any apparent material misstatements or inconsistencies we consider the implications for our report.

PricewaterhouseCoopers LLP
Chartered Accountants and Statutory Auditors
Leeds
21 December 2016
PricewaterhouseCoopers LLP is eligible to act, and has been appointed, as auditor under section 144(2) of the Charities Act 2011.
## Statement of Financial Activities for year ended 31 March 2016

### INCOMING RESOURCES:
- **Donations**
- **Legacies**
- **Incoming resources from Charitable Activities:**
  - **Income from operating activities**
  - **Investment income**
- **Total Incoming resources**

### RESOURCES EXPENDED:
- **Costs of generating funds**
- **Costs of generating voluntary income**
- **Charitable activities**
  - **Patient Education and Welfare**
  - **Purchase of New Equipment**
  - **Printing Stationery Books & Journals**
  - **Staff Education and Welfare**
  - **Capital Purchase of Equipment**
- **Total charitable activities**
- **Governance Costs**
- **Total resources expended**
- **Net incoming/(outgoing) resources before transfers**
- **Net incoming/(outgoing) resources before other recognised gains and losses**
  - **(Losses)/Gains on investment assets**
- **Net movement in funds**

### Fund balances:
- **Brought forward at 1 April**
- **Carried forward at 31 March**

### Notes:
- The notes on pages 22 to 31 form part of these financial statements.
- All results are from continuing operations with the exception of the transfers included in note 5.
- All gains and losses recognised in the year are included in the Statement of Financial Activities.
- There is no material difference between the net outgoing resources on ordinary activities and the net outgoing resources for the financial year stated above and their historical cost equivalents.
## Balance sheet as at 31 March 2016

<table>
<thead>
<tr>
<th></th>
<th>Unrestricted Funds £000</th>
<th>Restricted Funds £000</th>
<th>31 March 2016 Total Funds £000</th>
<th>31 March 2016 Total Funds £000</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Fixed assets:</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Investments</td>
<td>1,752</td>
<td>35</td>
<td>1,787</td>
<td>1,995</td>
</tr>
<tr>
<td>Total fixed assets</td>
<td>1,752</td>
<td>35</td>
<td>1,787</td>
<td>1,995</td>
</tr>
<tr>
<td><strong>Current assets:</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Debtors Under 1 Year</td>
<td>21</td>
<td>0</td>
<td>21</td>
<td>15</td>
</tr>
<tr>
<td>Cash at bank and in hand</td>
<td>145</td>
<td>2</td>
<td>147</td>
<td>21</td>
</tr>
<tr>
<td>Total current assets</td>
<td>186</td>
<td>2</td>
<td>188</td>
<td>36</td>
</tr>
<tr>
<td><strong>Creditors:</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Amounts falling due within one year</td>
<td>67</td>
<td>0</td>
<td>67</td>
<td>83</td>
</tr>
<tr>
<td>Net current assets/(liabilities)</td>
<td>99</td>
<td>2</td>
<td>101</td>
<td>(47)</td>
</tr>
<tr>
<td>Total assets less current liabilities</td>
<td>1,851</td>
<td>37</td>
<td>1,888</td>
<td>1,948</td>
</tr>
<tr>
<td><strong>Net assets</strong></td>
<td>1,851</td>
<td>37</td>
<td>1,888</td>
<td>1,948</td>
</tr>
</tbody>
</table>

The Funds of the Charity

|                      |                          |                       |                                 |                                |
|----------------------|-------------------------|-----------------------|---------------------------------|                                |
| Restricted Income Funds | 0                   | 37                    | 37                              | 109                            |
| Unrestricted Income Funds | 1,851             | 0                     | 1,851                           | 1,839                          |
| Total charity funds  | 1,851                   | 37                    | 1,888                           | 1,948                          |

The notes on pages 22 to 31 form part of these financial statements

The financial statements on pages 20 to 31 were approved by the Board of Trustees on 15th December 2016 and signed on its behalf by.

Signed... Mrs Anne Shaw

Date: 15th December 2016

Mrs Anne Shaw

Chair of Northern Lincolnshire and Goole NHS Foundation Trust Charitable Funds and Other Related Charities
Statement of Cash flows for year ended 31 March 2016

<table>
<thead>
<tr>
<th>NOTE</th>
<th>Total 2015/16 £000</th>
<th>Total 2014/15 £000</th>
</tr>
</thead>
<tbody>
<tr>
<td>Cash flows from operating activities</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Operating surplus/(deficit) from continuing operations</td>
<td>12</td>
<td>(432)</td>
</tr>
<tr>
<td>Operating surplus/(deficit)deficit</td>
<td>12</td>
<td>(432)</td>
</tr>
<tr>
<td>Non-cash income and expense:</td>
<td></td>
<td></td>
</tr>
<tr>
<td>(Increase)/Decrease in Trade and Other Receivables</td>
<td>(6)</td>
<td>13</td>
</tr>
<tr>
<td>(Decrease)/Increase in Trade and Other Payables</td>
<td>(16)</td>
<td>32</td>
</tr>
<tr>
<td>NET CASH USED IN OPERATIONS</td>
<td>(10)</td>
<td>(387)</td>
</tr>
<tr>
<td>Cash flows from investing activities</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Interest received</td>
<td>70</td>
<td>79</td>
</tr>
<tr>
<td>Sales of financial assets</td>
<td>100</td>
<td>200</td>
</tr>
<tr>
<td>Transfers</td>
<td>(34)</td>
<td>0</td>
</tr>
<tr>
<td>Net cash generated from investing activities</td>
<td>136</td>
<td>279</td>
</tr>
<tr>
<td>Increase/(decrease) in cash and cash equivalents</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Cash and Cash equivalents at 1 April</td>
<td>126</td>
<td>(106)</td>
</tr>
<tr>
<td>Cash and Cash equivalents at 31 March</td>
<td>21</td>
<td>129</td>
</tr>
<tr>
<td><strong>Total</strong></td>
<td><strong>147</strong></td>
<td><strong>21</strong></td>
</tr>
</tbody>
</table>
Notes to financial statements

1 General Information
The Northern Lincolnshire & Goole NHS Foundation Trust Charitable Funds and Other Related Charities is a charity registered with the Charity Commission for England and Wales.

2 Statement of compliance
The individual financial statements of Northern Lincolnshire and Goole NHS Foundation Trust Charitable Funds and other Related Charities have been prepared in compliance with United Kingdom Accounting Standards, including Financial Reporting Standard 102, "The Financial Reporting Standard applicable in the United Kingdom and the Republic of Ireland" ("FRS 102") and the Companies Act 2006.

1.1 Basis of Preparation
The financial statements have been prepared under the historic cost convention and going concern basis, with the exception of investments which are included at market value. The financial statements have been prepared in accordance with Accounting and Reporting by Charities: Statement of Recommended Practice (FRS102) issued in September 2015 and applicable UK Accounting Standards and the Charities Act 2011. The accounting policies have been applied consistently in dealing with items considered material in relation to the financial statements.

The principal accounting policies applied in the preparation of these financial statements are set out below.

These policies have been consistently applied to all the years presented, unless otherwise stated. The company has adopted FRS 102 in these financial statements. The date of transition to FRS 102 was 1 April 2014. A reconciliation of the loss for the financial year ended 31 March 2015 and the total equity at 1 April 2014 and 31 March 2015 between UK GAAP as previously reported and FRS 102 is not required, as there are no changes in the reported amounts.

The charity has taken advantage of the exemption, under FRS 102 paragraphs 11.39 to 11.44A and paragraphs 12.26 to 12.29, the requirement to disclose financial instruments, as the information is already provided in the consolidated financial statements of Northern Lincolnshire and Goole NHS Foundation Trust.

1.2 Funds Structure
Restricted funds are funds which are to be used in accordance with specific restrictions imposed by the donor. Where the restriction requires the gift to be invested to produce income but the trustees have the power to spend the capital, it is classed as expendable endowment.

Unrestricted income funds comprise those funds which the Trustee is free to use for any purpose in furtherance of the charitable objects. Unrestricted funds include designated funds, where the donor has made known their non-binding wishes or where the trustees, at their discretion, have created a fund for a specific purpose.

The charity does not have any expendable endowments

The major funds held in each of the above categories are disclosed in note 9.

1.3 Incoming Resources
All incoming resources are recognised once the charity has entitlement to the resources. Provided it is probable that the resources will be received and the monetary value of incoming resources can be measured with sufficient reliability.

1.4 Incoming Resources from Legacies
Legacies are accounted for as incoming resources either upon receipt or where the receipt of the legacy is probable. This will be once confirmation has been received from the representatives of the estate(s) that payment of the legacy will be made or properly transferred and once all conditions attached to the legacy have been fulfilled.

A receipt is normally probable when:
- there has been grant of probate;
- the executors have established that there are sufficient assets in the estate, after settling any liabilities, to pay the legacy; and
- any conditions attached to the legacy are either within the control of the charity or have been met.

Legacies to which the charity is entitled and for which notification has been received but uncertainty over measurement remains, are disclosed, if material, as contingent income.

1.5 Gifts in Kind
Assets given for distribution by the funds are included in the Statement of Financial Activities only when distributed.

In all cases the amount at which the gifts in kind are brought into account is either a reasonable estimate of their value to the funds or the amount actually realised.

1.6 VAT and Tax
VAT recoverable VAT is charged against the category of resources expended for which it was incurred.

The charity is a registered charity, and as such is entitled to certain tax exemptions on income and profits from investments, and surpluses on any trading activities carried on in furtherance of the charity's primary objectives, if these profits and surpluses are applied solely for charitable purposes.

1.7 Allocation of Overhead and Support Costs
Overhead and support costs have been apportioned on an appropriate basis between all funds and are included within governance costs on the Statement of Financial Activities. The apportionment is in proportion to the quarterly aggregate balance on each of the funds or the fund incoming resources and is distributed on a quarterly basis.
NORTHERN LINCOLNSHIRE AND GOOLE NHS FOUNDATION TRUST
CHARITABLE FUNDS AND OTHER RELATED CHARITIES 2015/16
FINANCIAL STATEMENTS

Notes to the financial statements

1. Accounting Policies continued

1.8 Charitable Activities
Costs of charitable activities comprise all costs incurred in the pursuit of the charitable objects of the charity. These costs comprise direct costs and an apportionment of overhead and support costs.
Liabilities are recognised when an order is placed and is monitored against the fund as a commitment. Once an invoice, goods or services are received then an accrual or a payment is shown in the financial statements.

1.9 Governance Costs
Governance costs comprise all costs incurred in the governance of the charity. These costs include costs related to statutory audit together with an apportionment of overhead and support costs.

1.10 Fixed Asset Investment
Investments are stated at market value as at the balance sheet date. The statement of financial activities includes the net gains and losses arising on revaluation and disposals throughout the year.
The Common Investment Fund Units & Brewin Dolphin Ltd portfolio are included in the balance sheet at the closing dealing price at 31st March 2016.

1.11 Financial Instruments
The charity has financial assets and financial liabilities of a kind that qualify as basic financial instruments. Basic financial instruments are initially recognised at transaction value and subsequently measured at their settlement value. The investments held by the charity are classified as financial assets measured at fair value through income and expenditure.

1.12 Realised Gains & Losses
All gains and losses are taken to the Statement of Financial Activities as they arise. Realised gains and losses on investments are calculated as the difference between sales proceeds and opening market value (purchase date if later). Unrealised gains and losses are calculated as the difference between the market value at the year end and opening market value (or purchase date if later).

1.13 Pooled Investments
An official pooling scheme the "Northern Lincolnshire and Goole NHS Foundation Trust (Expendable Funds) Common Investment Fund" is operated for investments relating to the following funds:
Northern Lincolnshire and Goole NHS Foundation Trust Charitable Funds
Scunthorpe General Hospital Charity
Goole & District Hospital Charity
Diana Princess of Wales Hospital Charity

The scheme was registered with the Charity Commission on 26th August 1997.

1.14 Prior Year Adjustment
There have not been any prior year adjustments.

2.0 Related Party Transactions
The Northern Lincolnshire and Goole NHS Foundation Trust, Navigo and Care Plus Group, are the sole beneficiaries of the charity.
The charity has provided funding to the Northern Lincolnshire and Goole NHS Foundation Trust of £761k (2014/15 £753k), Navigo and Care Plus Group of £43k (2014/15 £25k) for approved expenditure made on behalf of the charity. This funding is included in the total grant making costs of £806k (2014/15 £804k).
The charity has incurred administration fees of £40k (2014/15 £34k) payable to the Northern Lincolnshire and Goole NHS Foundation Trust.
Amounts due to Northern Lincolnshire and Goole NHS Foundation Trust at 31st March 2016 £3k (2014/15 £1k).

2.1 Ultimate Parent
Northern Lincolnshire and Goole NHS Foundation Trust (NLAG) is the immediate and ultimate parent undertaking and controlling party. Its principal activity is the provision of Healthcare and it prepares fully consolidated statements which are available at the following website: www.nhs.nla
### Notes to the financial statements

#### 3 Analysis of Income Excluding Investment Income

<table>
<thead>
<tr>
<th>3.1 Incoming resources from Generated Funds</th>
<th>Unrestricted Funds £000</th>
<th>Restricted Funds £000</th>
<th>2015/16 Total Funds £000</th>
<th>2014/15 Total Funds £000</th>
</tr>
</thead>
<tbody>
<tr>
<td>Donations from Individuals &amp; Organizations</td>
<td>425</td>
<td>1</td>
<td>426</td>
<td>255</td>
</tr>
<tr>
<td>Donations from League of Friends</td>
<td>50</td>
<td>0</td>
<td>50</td>
<td>48</td>
</tr>
<tr>
<td>Legacies</td>
<td>326</td>
<td>0</td>
<td>326</td>
<td>65</td>
</tr>
<tr>
<td><strong>Total</strong></td>
<td><strong>801</strong></td>
<td><strong>1</strong></td>
<td><strong>802</strong></td>
<td><strong>366</strong></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>3.2 Incoming Resources from Charitable Activities</th>
<th>Unrestricted Funds £000</th>
<th>Restricted Funds £000</th>
<th>2015/16 Total Funds £000</th>
<th>2014/15 Total Funds £000</th>
</tr>
</thead>
<tbody>
<tr>
<td>Receipts from Fundraising</td>
<td>16</td>
<td>0</td>
<td>16</td>
<td>6</td>
</tr>
<tr>
<td><strong>Total</strong></td>
<td><strong>16</strong></td>
<td><strong>0</strong></td>
<td><strong>16</strong></td>
<td><strong>6</strong></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>3.3 Investment Income</th>
<th>Unrestricted Funds £000</th>
<th>Restricted Funds £000</th>
<th>2015/16 Total Funds £000</th>
<th>2014/15 Total Funds £000</th>
</tr>
</thead>
<tbody>
<tr>
<td>Investments on a Stock Exchange</td>
<td>34</td>
<td>2</td>
<td>36</td>
<td>42</td>
</tr>
<tr>
<td>Investments in a Common Investment Fund</td>
<td>33</td>
<td>1</td>
<td>34</td>
<td>37</td>
</tr>
<tr>
<td><strong>Total: Investment Income</strong></td>
<td><strong>67</strong></td>
<td><strong>3</strong></td>
<td><strong>70</strong></td>
<td><strong>79</strong></td>
</tr>
</tbody>
</table>

All investments were held within the UK
NORTHERN LINCOLNSHIRE AND GOOLE NHS FOUNDATION TRUST
CHARITABLE FUNDS AND OTHER RELATED CHARITIES 2015/16
FINANCIAL STATEMENTS

Notes to the financial statements
4 Analysis of Charitable Expenditure

4.1 Total Charitable activities

<table>
<thead>
<tr>
<th>Activity</th>
<th>Unrestricted Funds</th>
<th>Restricted Funds</th>
<th>2015/16 Total Funds</th>
<th>2014/15 Total Funds</th>
</tr>
</thead>
<tbody>
<tr>
<td>Patients Welfare and Amenities</td>
<td>£242</td>
<td>£1</td>
<td>£243</td>
<td>£117</td>
</tr>
<tr>
<td>Furniture &amp; Fittings - Patients</td>
<td>£0</td>
<td>£0</td>
<td>£48</td>
<td>£48</td>
</tr>
<tr>
<td>Medical Equipment</td>
<td>£56</td>
<td>£0</td>
<td>£56</td>
<td>£63</td>
</tr>
<tr>
<td>Other Equipment including IT</td>
<td>£24</td>
<td>£0</td>
<td>£24</td>
<td>£24</td>
</tr>
<tr>
<td>Staff Other Equipment</td>
<td>£17</td>
<td>£0</td>
<td>£17</td>
<td>£13</td>
</tr>
<tr>
<td>Equipment Supplied by LCF</td>
<td>£48</td>
<td>£0</td>
<td>£48</td>
<td>£48</td>
</tr>
<tr>
<td>Printing, Stationery, Books and Journals</td>
<td>£8</td>
<td>£0</td>
<td>£6</td>
<td>£5</td>
</tr>
<tr>
<td>Staff Welfare &amp; Amenities</td>
<td>£1</td>
<td>£0</td>
<td>£1</td>
<td>£5</td>
</tr>
<tr>
<td>Staff Furniture &amp; Fittings</td>
<td>£9</td>
<td>£0</td>
<td>£9</td>
<td>£15</td>
</tr>
<tr>
<td>*Training &amp; Education including Educational Aids</td>
<td>£52</td>
<td>£0</td>
<td>£52</td>
<td>£56</td>
</tr>
<tr>
<td>*Travel and Subsistence</td>
<td>£2</td>
<td>£0</td>
<td>£2</td>
<td>£3</td>
</tr>
<tr>
<td>Capital Equipment Purchased</td>
<td>£196</td>
<td>£10</td>
<td>£206</td>
<td>£332</td>
</tr>
</tbody>
</table>

Total Charitable Expenditure: £656

* Grants to individuals to attend training courses amounted to £26K (2014/15 £20k)

4.2 Governance Costs

Allocation of Governance Costs

<table>
<thead>
<tr>
<th>Activity</th>
<th>Unrestricted Funds</th>
<th>Restricted Funds</th>
<th>2015/16 Total Funds</th>
<th>2014/15 Total Funds</th>
</tr>
</thead>
<tbody>
<tr>
<td>External Audit - audit fees</td>
<td>£6</td>
<td>£0</td>
<td>£6</td>
<td>£5</td>
</tr>
<tr>
<td>Financial Services Charges and Overheads</td>
<td>£32</td>
<td>£2</td>
<td>£34</td>
<td>£33</td>
</tr>
<tr>
<td>Charity System Maintenance Charge</td>
<td>£0</td>
<td>£0</td>
<td>£0</td>
<td>£1</td>
</tr>
</tbody>
</table>

Total: £38

Financial Services Charges and Overheads includes salary costs of £32k (2014/15 £23k) related to staff resources bought in from the Northern Lincolnshire and Goole NHS Foundation Trust.

4.3 Total resources expended

<table>
<thead>
<tr>
<th>2015/16</th>
<th>2014/15</th>
</tr>
</thead>
<tbody>
<tr>
<td>Grants to Individuals</td>
<td>£36</td>
</tr>
<tr>
<td>Northern Lincolnshire and Goole NHS Foundation Trust</td>
<td>£761</td>
</tr>
<tr>
<td>Navigo and Care Plus Group</td>
<td>£9</td>
</tr>
<tr>
<td>Total Grants to Institutions</td>
<td>£770</td>
</tr>
<tr>
<td>Total Grants</td>
<td>£806</td>
</tr>
</tbody>
</table>

4.4 Employee Costs & Numbers

The Charity does not have any employees (2014/15: nil)

During the year a fundraising team of two people were seconded to Charitable Funds from the Smile Foundation.

The costs of this secondment are included within the costs of generating voluntary income. These costs are apportioned to individual funds in proportion to the income raised.

The trustees do not receive remuneration or expenses from the Charity, (2014/15: nil)
Notes to the financial statements

5 Transfers

During the year the Trustees approved the transfer of three restricted (2014/15: four) income funds with a value of £55k (2014/15: £322k) into unrestricted income funds, as the objects no longer provided a suitable and effective method of using the funds.

During the year the Trustees approved the transfer of nine funds with a value of £34k to the Care Plus Group Charitable Trust, who have taken over the management of these funds. These funds were previously managed on behalf of the Care Plus Group.
Notes to the financial statements

6 Investments

6.1 Movement In Fixed Asset Investment

<table>
<thead>
<tr>
<th></th>
<th>Unrestricted Funds £000</th>
<th>Restricted Funds £000</th>
<th>2015/16 Total Funds £000</th>
<th>2014/16 Total Funds £000</th>
</tr>
</thead>
<tbody>
<tr>
<td>Market Value at 1 April</td>
<td>1,884</td>
<td>111</td>
<td>1,995</td>
<td>2,053</td>
</tr>
<tr>
<td>Less: Disposals at carrying value</td>
<td>(95)</td>
<td>(5)</td>
<td>(100)</td>
<td>(200)</td>
</tr>
<tr>
<td>Add: Net (Loss)/Gain</td>
<td>(103)</td>
<td>(3)</td>
<td>(108)</td>
<td>142</td>
</tr>
<tr>
<td>Reclassification</td>
<td>88</td>
<td>(05)</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td><strong>Total: Market Value at 31 March</strong></td>
<td><strong>1,752</strong></td>
<td><strong>35</strong></td>
<td><strong>1,787</strong></td>
<td><strong>1,995</strong></td>
</tr>
</tbody>
</table>

6.2 Fixed Asset Investments:

<table>
<thead>
<tr>
<th>Investment Funds</th>
<th>Held In UK £000</th>
<th>Property &amp; Infrastructure £000</th>
<th>2015/16 Total Funds £000</th>
<th>2014/16 Total Funds £000</th>
</tr>
</thead>
<tbody>
<tr>
<td>Investment Fund and Fixed Interest Fund</td>
<td>1,552</td>
<td>235</td>
<td>1,787</td>
<td>1,995</td>
</tr>
<tr>
<td><strong>Total: Market Value</strong></td>
<td><strong>1,852</strong></td>
<td><strong>235</strong></td>
<td><strong>1,787</strong></td>
<td><strong>1,995</strong></td>
</tr>
</tbody>
</table>

- Investments listed on a Stock Exchange
- Investments in a Common Investment Fund
- Cash held as part of the Investment Portfolios

<table>
<thead>
<tr>
<th></th>
<th>2015/16 Total Funds £000</th>
<th>2014/16 Total Funds £000</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Total: Market Value</strong></td>
<td><strong>1,787</strong></td>
<td><strong>1,995</strong></td>
</tr>
</tbody>
</table>

6.3 Post Balance Sheet Event - Non Adjusting

Since the completion of these financial statements, the financial markets continue to show instability in the first quarterly reports. However, as the markets are still uncertain, the procedures implemented will continue to monitor and control, where possible, any losses. It is not possible at this time to forecast the financial effect.
Notes to the financial statements

7 Analysis of Current Assets

<table>
<thead>
<tr>
<th>7.1 Debtors Under 1 Year</th>
<th>Unrestricted Funds £000</th>
<th>Restricted Funds £000</th>
<th>31 March 2016 Total Funds £000</th>
<th>31 March 2015 Total Funds £000</th>
</tr>
</thead>
<tbody>
<tr>
<td>Other Debtors - Gift Aid Tax Reclaim</td>
<td>2</td>
<td>0</td>
<td>2</td>
<td>1</td>
</tr>
<tr>
<td>Other Debtors - Donations from League of Friends &amp; Others</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>2</td>
</tr>
<tr>
<td>Prepayments and Accrued Income</td>
<td>19</td>
<td>0</td>
<td>19</td>
<td>12</td>
</tr>
<tr>
<td>Total: Debtors &lt; 1 Year</td>
<td>21</td>
<td>0</td>
<td>21</td>
<td>15</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>7.2 Cash at bank and In hand</th>
<th>Unrestricted Funds £000</th>
<th>Restricted Funds £000</th>
<th>31 March 2016 Total Funds £000</th>
<th>31 March 2015 Total Funds £000</th>
</tr>
</thead>
<tbody>
<tr>
<td>Cash at bank and in hand</td>
<td>145</td>
<td>2</td>
<td>147</td>
<td>21</td>
</tr>
<tr>
<td>Total: Cash at bank and In hand</td>
<td>145</td>
<td>2</td>
<td>147</td>
<td>21</td>
</tr>
</tbody>
</table>

All short term investments and deposits are held in the UK with commercial banks and the Charities Official Investment Funds (COIF)

TOTAL OF CURRENT ASSETS | 166 | 2 | 168 | 36 |
Notes to the financial statements

8 Creditors: Amounts falling due within one year

<table>
<thead>
<tr>
<th></th>
<th>Unrestricted Funds £000</th>
<th>Restricted Funds £000</th>
<th>31 March 2016 Total Funds £000</th>
<th>31 March 2015 Total Funds £000</th>
</tr>
</thead>
<tbody>
<tr>
<td>Trade Creditors</td>
<td>29</td>
<td>0</td>
<td>29</td>
<td>15</td>
</tr>
<tr>
<td>Amounts owed to group undertakings</td>
<td>3</td>
<td>0</td>
<td>3</td>
<td>1</td>
</tr>
<tr>
<td>Accruals and deferred income</td>
<td>35</td>
<td>0</td>
<td>35</td>
<td>67</td>
</tr>
<tr>
<td><strong>Total: Creditors:</strong> amounts falling due within one year</td>
<td><strong>67</strong></td>
<td><strong>0</strong></td>
<td><strong>67</strong></td>
<td><strong>83</strong></td>
</tr>
</tbody>
</table>

Amounts owed to group undertakings represents sums owed at the year end by the charity to Northern Lincolnshire and Goole NHS Foundation Trust, who is a related party, for costs incurred by the NHS Foundation Trust on behalf of the charity, in the furtherance of the charity’s objects.

Purchase Commitments

Orders raised at the Balance Sheet Date, for which goods have not been received amounted to £94K (2014/15 £110K)
NORTHERN LINCOLNSHIRE AND GOOLE NHS FOUNDATION TRUST
CHARITABLE FUNDS AND OTHER RELATED CHARITIES 2015/16
FINANCIAL STATEMENTS

Notes to the financial statements
9 Analysis of Charitable Funds

### 9.1 Charitable Fund Balances

<table>
<thead>
<tr>
<th></th>
<th>Unrestricted Funds £000</th>
<th>Restricted Funds £000</th>
<th>31 March 2016 Total Funds £000</th>
<th>31 March 2015 Total Funds £000</th>
</tr>
</thead>
<tbody>
<tr>
<td>Total Charity Funds</td>
<td>1,851</td>
<td>37</td>
<td>1,888</td>
<td>1,946</td>
</tr>
</tbody>
</table>

### 9.2 Charitable Fund Movements

#### 9.3 Restricted & Income Funds
- **Cancer Equipment Fund**: 39, 1, (1), 0, (2), 37
- **Cardiovascular Fund**: 4, 1, 0, (4), (1), 0
- **Child Development Centre Appeal Fund**: 2, 0, 0, (2), 0, 0
- **Ophthalmology OPD**: 9, 0, (9), 0, 0, 0
- **Rheumatology Fund**: 50, 2, (2), (48), (2), 0
- **Scunthorpe Gift Ophthalmology Fund**: 1, 0, (1), 0, 0, 0
- **The Emily Cable Fund (Care Plus Group Fund)**: 4, 0, (1), (3), 0, 0

<table>
<thead>
<tr>
<th>Fund Balance as at 01.04.15 £000</th>
<th>Incoming Resources £000</th>
<th>Expended Resources £000</th>
<th>Funds Transferred £000</th>
<th>Gains and Losses Inv. £000</th>
<th>Fund Balance as at 31.3.16 £000</th>
</tr>
</thead>
<tbody>
<tr>
<td>Total Restricted &amp; Special Purpose Funds</td>
<td>109</td>
<td>4</td>
<td>(14)</td>
<td>(57)</td>
<td>(5)</td>
</tr>
</tbody>
</table>

#### 9.4 Unrestricted Income Funds
- **NLAG Funds**: 1,601, 883, (764), 54, (103), 1,881
- **Care Plus Group Funds**: 37, 1, (7), (31), 0, 0
- **Navigo Funds**: 1, 0, (1), 0, 0, 0

| Total General & Designated Funds | 1,839 | 884 | (792) | 23 | (103) | 1,851 |

#### 9.5 Total charity funds

|                      | 1,846 | 888 | (806) | (34) | (108) | 1,888 |

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